

**21st Century Community Learning Centers
2017 RFP - Financial Risk Assessment**

Applicants may use this risk assessment to inform their choice of fiscal agent and determine how a particular fiscal agent will affect their application's fiscal eligibility for the 21st CCLC grant.

	Scoring	Points
Applicant is in good financial standing with the Indiana Department of Revenue and the Indiana Department of Workforce Development.	Yes = 0 No = 5	
The fiscal agent is not debarred, suspended or otherwise excluded from or ineligible for participation in Federal programs or activities.	Yes = 0 No = 5	
Specific applicant staff will be assigned to the 21st CCLC program, and this staff has experience working with federal programs.	Yes = 0 No = 5	
Applicant has the capacity to execute the management standards of the grant. (Ex. Fulfill documentation requirements, internal control requirements, etc.)	Yes = 0 No = 5	
Fiscal agent has an interest in the goals, expectations, and performance of the 21st CCLC program.	Yes = 0 No = 5	
Has the sub recipient received this type of federal award in the past or is this a brand new award? (Select one)	21st CCLC Funded = 0 Other Federal Funds = 2 New Award = 5	
Has most recent fiscal review found financial management compliance issues (minor-significant)	1 Finding = 1 2 Findings = 2 (Max of 10 points)	
Have the grantee's previous financial reports been inadequate, inaccurate, or late?	Inadequate = 2 Inaccurate = 2 Late = 2 None of the above = 0	
Has the grantee returned federal funds, beyond what is reasonable, to the state in the previous program year?	Returned: 0.5% or less = 0 0.51%-4% = 2 More than 4% = 4	
Has the grantee submitted budget revisions in the past to correct errors?	No = 0 1 Revision = 1 2 Revisions = 2 3 Revisions or more = 4	
Most recent State Board of Accounts audit has:	Findings = 2 Unresolved Findings = 3 Is Delinquent = 5	
	Total:	0
	Low Risk:	0-5 Points
	Moderate Risk:	6 - 10 Points
	High Risk:	11+ Points